

ACCOUNT OPENING FORM

CLIENT CODE :

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INDIVIDUAL

JOINT



PRIME ISLAMI SECURITIES LIMITED

share market is the best place for ethical investment

Corporate Member of Dhaka & Chittagong Stock Exchange Ltd.

DSE TREC : 104

CSE TREC : 145

Customer Account Opening Information

Name of Account Holder _____

Customer Account No _____

BO Account No

1	2	0	5	0	0	0	0								
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Mailing Address _____

Phone No _____

Email ID _____

শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ। জেনে ও বুঝে বিনিয়োগ করুন।

BE A MEMBER OF OUR INVESTMENT FAMILY

REQUIRED DOCUMENTS INFORMATION

List of Required Documents of Individual/ Joint Account

- | | | |
|--|----------|----------------------|
| 1. Three (03) copy of passport size photograph (1st Applicant) | Yes / No | <input type="text"/> |
| 2. Three (03) copy of passport size photograph (2nd Applicant) | Yes / No | <input type="text"/> |
| 3. National ID card/Passport | Yes / No | <input type="text"/> |
| 4. Cheque Leaf | Yes / No | <input type="text"/> |
| 5. Two copy of photograph of nominee (duly attested by A/C holder) | Yes / No | <input type="text"/> |
| 6. Three copy of Photograph of authorized/POA, duly attested by A/C holder (If needed) | Yes / No | <input type="text"/> |
| 7. National ID card/ Passport of nominee/ authorized/ POA. (duly attested by A/C holder) | Yes / No | <input type="text"/> |

List of Required Documents of Bangladeshi Institutions

- | | | |
|---|----------|----------------------|
| 1. Copy of Memorandum and Articles of Associations (duly attested) | Yes / No | <input type="text"/> |
| 2. Photocopy of Certificate of Incorporation (duly attested) | Yes / No | <input type="text"/> |
| 3. Copy of Valid Trade License | Yes / No | <input type="text"/> |
| 4. Copy of Board resolution to open the account and authorization for operation | Yes / No | <input type="text"/> |
| 5. List of authorized signatories along with address. | Yes / No | <input type="text"/> |
| 6. Three copy of passport size photographs of the CEO/ MD/ Chairman (duly attested) | Yes / No | <input type="text"/> |
| 7. Three copy of passport size photographs of authorized person (dully attested) | Yes / No | <input type="text"/> |
| 8. Photocopy of Cheque Leaf | Yes / No | <input type="text"/> |

List of Required Documents of Non-Resident Bangladeshi (Individual & Joint Account)

- | | | |
|---|----------|----------------------|
| 1 Three (03) copies passport size photograph of the account holder. | Yes / No | <input type="text"/> |
| 2. Photocopy of Passport (with valid visa) of account holder | Yes / No | <input type="text"/> |
| 3. Copy of Service agreement with the employer/Work permit/Residence Permit/Social Security Card/
Business documents. | Yes / No | <input type="text"/> |
| 4. Foreign Currency (FC) Bank account certificate containing name of the applicant, name
of father & mother and bank account number. | Yes / No | <input type="text"/> |
| 5. Two copy passport size photograph of the Nominee(s), if any (Attested by account holder) | Yes / No | <input type="text"/> |
| 6. Three copy passport size photograph of authorized & POA (attested by account holder) | Yes / No | <input type="text"/> |
| 7. Three copy passport size photograph of 2nd applicant (attested by account holder at the back) | Yes / No | <input type="text"/> |
| 8. Photocopy of Passport (with valid visa of 2nd applicant). | Yes / No | <input type="text"/> |

List of requirement documents (Proprietorship)

- | | | |
|--|----------|----------------------|
| 1. Copy of Valid Trade License | Yes / No | <input type="text"/> |
| 2. NID Copy & photograph of Contact Person | Yes / No | <input type="text"/> |
| 3. Cheque Leaf | Yes / No | <input type="text"/> |

List of requirement documents (Partnership)

- | | | |
|--|----------|----------------------|
| 1. Copy of Valid Trade License | Yes / No | <input type="text"/> |
| 2. Partnership Deed. | Yes / No | <input type="text"/> |
| 3. NID Copy & photograph of Authorized Signatory attested by all the partners. | Yes / No | <input type="text"/> |
| 4. Partners letter of authority to open account and authorization for operation. | Yes / No | <input type="text"/> |
| 5. Cheque Leaf | Yes / No | <input type="text"/> |

List of requirement documents Association /Trust/Society/ Provident Fund)

- | | | |
|--|----------|----------------------|
| 1. Trust Deed (for trust account only) duly attested | Yes / No | <input type="text"/> |
| 2. Copy of Constitution/bylaws/Rules. | Yes / No | <input type="text"/> |
| 3. Certificated of registration | Yes / No | <input type="text"/> |
| 4. List of members of the governing bodies along with addresses. | Yes / No | <input type="text"/> |
| 5. Reorganization letter from NBR (for Provident fund only) | Yes / No | <input type="text"/> |
| 6. Cheque Leaf | Yes / No | <input type="text"/> |
| 7. Copy of Board Resolution of governing body to open the account and authorization for operation. (Company pad) | Yes / No | <input type="text"/> |
| 8. TIN Certificate | Yes / No | <input type="text"/> |
| 9. List of authorized signatories along with addresses. | Yes / No | <input type="text"/> |
| 10. NID Copy & Photograph of authorized person (duly attested) | Yes / No | <input type="text"/> |



PRIME ISLAMI SECURITIES LIMITED

share market is the best place for ethical investment

Head Office : DSE Tower (Level-9), Room # 167, Plot # 46, Road # 21, Nikunja-2, Dhaka-1229, Tel: 02-41040272

Corporate Member of :

DSE : Member # 104; SEC: Reg. No.-Reg-3.1/DSE-104/2010/439
CSE : Member # 145; SEC: Reg. No. -Reg-3.2/CSE-145/2014/288
Full Service DP : SEC Reg. No. SEC/Reg/CDBL-DP-341

Photo

শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ।

Form 1A

[SEC Rules 8(1) (CCC)]

Date :

CUSTOMER ACCOUNT INFORMATION FORM

Client Code No :

BO ID NO. :

Account Type : Cash : Margin : NRB : Yes : No : Status : Individual : Joint :

First Account Holder :

Name of Account Holder : Mr./Mrs.
Father's/Husband's Name : Mr.
Present Address :
..... Phone : Mobile : Fax :
Permanent Address :
..... Phone : Mobile : Fax:.....
Date of Birth :...../...../..... Sex : Male/Female. Occupation:..... Nationality :

Second Account Holder : (If Joint Account)

Name of Account Holder : Mr./Mrs.
Father's/Husband's Name : Mr.
Present Address :
..... Phone : Mobile : Fax :
Permanent Address :
..... Phone : Mobile : Fax:.....
Date of Birth :...../...../..... Sex : Male/Female. Occupation:..... Nationality :

Name & contact detail of the **Authorized Person (If any)** of the A/C Holder to deal with **Prime Islami Securities Limited**
(A passport size photograph of the authorized person is required to be attested by the customer)

Name of Authorized Person :
Name of Account Holder : Mr./Mrs.
Father's/Husband's Name : Mr.
Present Address :
..... Phone : Mobile : Fax :
Permanent Address :
..... Phone : Mobile : Fax:.....
Date of Birth :...../...../..... Sex : Male/Female. Occupation:..... Nationality :

Whether the A/C Holder or joint holder is an officer or director of any Stock Exchange/Listed Company? Yes : No :
If yes, Name & Address of the Stock Exchange/Listed Company.....

Name & Address of the Person Introducing the Customer

Signature of Introducer

Signature of Authorized Person (if any)

Signature of First A/C Holder

Signature of Second A/C Holder

Authorized Signatory accepting the Account

Signature of CEO/Director



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CSE : Member # 145; SEC: Reg. No. -Reg-3.2/CSE-145/2014/288

(TERMS AND CONDITIONS FOR OPENING A BROKERAGE ACCOUNT) DECLARATION/AGREEMENT

I/We the undersigned whose information is given in the Brokerage Application Form hereby request to be registered as client of **Prime Islami Securities Limited (PISL)** and intend to open a brokerage account in my/our/company name. I/We further agree and confirm that the account hereby requested to be opened by me/us shall be held and be governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by PISL.

TERMS AND CONDITIONS

Instruction : A written instruction shall be given to **Prime Islami Securities Limited** from time to time to purchase and/or sell investments (which shall mean and include stocks, shares, debentures, mutual funds and private placement or any other similar financial instrument as may be made available from time to time) on behalf of the account holder. On receipt of such instructions along with a cash deposit or delivery of investments, PISL shall, so far as PISL considers it reasonably practicable, purchase and/or sell investments in accordance with those instruction provided always that: (i) any such dealings to do not contravene any applicable laws or regulations; (ii) PISL shall have an absolute discretion to accept or reject purchase/sell instructions and (iii) accounts holder's instruction shall include the following details :

- The name of the investment.
- Quantity.
- Price with notification of limits or discretion.
- The duration of the order.
- The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (See Note).
- The order should specify completion formats of:
- All or partial fill with minimum trade value or number of shares.
- Mode of execution i.e. DVP (Delivery Versus Payment)/Non DVP (Non Delivery Versus Payment).

Joint Accounts : If this is a joint account, unless the account holders notify **Prime Islami Securities Limited** otherwise and provide such documentation as PISL may require, the brokerage account(s) shall be held by the account holders jointly with rights or survivorship (payable to either or the survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. PISL shall be fully protected in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to PISL pursuant to this agreement, whether incurred by either or both of the account holders.

Nominee : Account holder(s) will confirm whether or not **Prime Islami Securities Limited**, will hold the shares as nominee for the Account Holder Beneficial ownership to.....with the Account Holder.

Risk : The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings.

Limit Orders : While **Prime Islami Securities Limited** will endeavor to purchase or sell the Investment within the limits of prices that may be notified by the account holder; PISL does not guarantee or assure that the transactions will be materialized with on such notified limits. PISL will always endeavor to obtain the best price.

Associates Companies : **Prime Islami Securities Limited** may purchase and/or sell Investment on behalf of the account holder by placing order with itself and other companies whether acting as underwriter(s), investment manager(s), merchant or commercial bank(s), registered or licensed deposit-taker(s), broker(s), dealer(s) or otherwise, or with any other broker(s) and dealer(s) as PISL in its sole discretion may decide.

Payment and Disclosure : **Prime Islami Securities Limited** shall not be obliged to make any payment on behalf of the account holders. PISL may disclose information regarding the account holder or his-her dealings in relation to this agreement to any department of the government or public body upon request, whether or not such request is in fact legally enforceable, and PISL will not be liable in any way to account holder for so doing.

Cancellation provisions : **Prime Islami Securities Limited** is authorized, in its discretion, should the undersigned die or should PISL for any reason whatsoever deems it necessary for its protection, without notice, to cancel and outstanding orders in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

Indemnity : In the event of a default, omission or act committed by **Prime Islami Securities Limited** as a broker/member of the DSE/CSE, the account holder shall be indemnified if and only as provided by the Rules and Regulations of the DSE/CSE.

Confirmation and settlements : **Prime Islami Securities Limited** shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected in instruction; and (b) contract notes (in such form as PISL shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE "Howla" number or CSE equivalent provided also that the account holder does hereby agree and undertake to confirm in writing beforehand of all our instructions.

Fees and expenses : The account holder will pay a brokerage commission of, and any other related expenses and charges that may from time to time to be applicable. Every transaction concluded through and recognized by the DSE/CSE is subject to transaction levies or other fees imposed by the DSE/CSE. The account holder understands that the rate of commission may be changed from time to time.

Set-off : **Prime Islami Securities Limited** shall be entitled to, in respect of all commission, costs, charged or expense, set off from any monies from time to time held by PISL for the account holder and if such monies are insufficient for the purpose, to sell any Investment held by PISL or any of its agents on behalf of the account holder.

Period : This agreement shall remain in force for a period of _ months/years from the date of signing.

Termination : This agreement will stand terminated:

(i) Upon the expiry of the period of this Agreement unless renewed upon mutual agreement between **Prime Islami Securities Limited** and the Account Holder.

(ii) Before the expiry of the period of this Agreement, if either **Prime Islami Securities Limited** or the Account Holder givesmonths' notice. Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues.

(iii) If the account holder fails to make payment for any transaction upon due notice for a period of.....days.

Assignment: The benefits/rights and burdens/obligations of this agreement are capable of assignment by both the account holder and **Prime Islami Securities Limited** without the consent of the other but the notice of assignment must be given to the other in writing. [Legally - rights are assignable and obligations are transferred]

Force Majeur : **Prime Islami Securities Limited** shall not be liable for any loss, damages, expenses, costs or other wise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or force majeure or circumstances beyond its control.

Forged Shares : **Prime Islami Securities Limited** shall not be liable or responsible for any shares that are found to be forged. However, PISL will make every attempt to obtain valid shares with the seller.

Law : The terms and conditions contained herein shall be governed by and be construed in accordance with the laws Bangladesh.

Notices : (a) All notices, instructions or other communications shall be given in English and in writing (facsimile, telex, telegram, cable or letter) or orally and shall be sent to **Prime Islami Securities Limited** and the account holder at the address, fax and/or telex number shown herein or at such other address as may be communicated by the parties here to in writing.

(b) Proof of delivery or dispatch shall be:

(i) in the case of a letter: dispatch of the letter duly stamped and addressed.

(ii) in the case of facsimile, telex, telegram, or cable on the day of dispatch with mechanical confirmation

(c) All oral notices, instructions, or other communications should be confirmed in writing within 24 hours.

Definitions : Words defined herein, save where a contrary meaning appears or such meaning is inconsistent with the context, shall have this same defined meaning wherever used.

Sums Due : For all purposes, including any legal proceedings, a certificate by any of Prime Islami Securities Limited officers confirming the monies and/or liabilities for the time being due and/or incurred to by the account holder shall constitute conclusive evidence thereof against him/her

Disputes and Resolution of Dispute : In case of any dispute arising between the Prime Islami Securities Limited and the account holder in connection with the interpretation or enforcement of the terms and conditions contained herein attempts should be made to settle the matter amicably, in case of failure to settle the matter amicably, the same shall be referred to arbitration of two arbitrators, each to be nominated by the parties who shall also appoint an Umpire. The decision of the arbitrators, so nominated, shall be binding on both the parties. In case the Arbitrators differ in their finding, the decision of the Umpire shall be final and binding on the parties.

The arbitration shall be conducted in accordance with the Arbitration Act, 2001.

I We have read the terms & conditions contained herein above & having agreed with such terms & conditions I/We put my our company seal & signature on this the _____ day of _____, 20 ____.

Signature of Second Account Holder

Signature of Third Account Holder

Witnessed By :

Signature :

Name :

Address :

.....

.....

Signature of First Account Holder

Witnessed By :

Signature :

Name :

Address :

.....

.....

MARGIN TRADING AGREEMENT

THIS AGREEMENT made this..... Day of....., 20... of the Christian Era.

BETWEEN

Prime Islami Securities Limited, a public limited company incorporated in Bangladesh under the Companies Act, 1994 having its Registered Office at Raj Bhaban (7th Floor) 29, Dilkusha C/A, Dhaka-1000 (hereinafter referred to as the "**BROKER**" which term shall unless excluded by or repugnant to the context be deemed to include its successors-in- office, legal representatives and assigns) of the **one part**;

AND

1. Mr/Mrs..... 2. Mr/Mrs.....
S/O,W/O..... S/O,W/O.....
Of 1..... 2.....

(here in after referred to as the "**CLIENT**" which term shall unless excluded by or repugnant to the context be deemed to include his/her successor(s), legal representatives and assigns) of the **other part**.

W H E R E A S :

- a. The Broker has been providing stock broking facilities, CDBL services and offering credit facilities to its customers;
- b. The Client has approached Broker for credit facilities for the purchase of Securities listed with the Dhaka Stock Exchange Limited (DSE) and Chittagong Stock Exchange Limited (CSE) and deposit/transfer Cash/Securities in the Client's name in Account No.....and the "Beneficiary Owner Account" hereinafter referred to as "BO Account" No.....with the "Central Depository Bangladesh Limited" hereinafter referred to as "CDBL";
- c. The BROKER has agreed to extend credit facilities to the CLIENT for the aforesaid purpose on the terms and conditions hereinafter stated and both the parties wish to enter into a Margin Trading Agreement (hereinafter referred to as "the agreement") for conducting Margin Trading in Bangladesh.

NOW THIS AGREEMENT WITNESSETH AS FOLLOWS :

RULES AND PROCEDURES REGULATING MARGIN TRADING

1. DEFINITIONS

- "**Broker**" means Prime Islami Securities Limited and includes its successors and interest.
- "**Client**" means applicant named in the application form and includes its successors and interest.
- "**Securities Account**" shall mean the account opened by the Client with Broker to deposit/deliver/sell/buy securities.
- "**Approved Securities**" shall mean the securities approved by the Broker to purchase into the Securities Account against Margin Loan.
- "**Portfolio Value**" means total market value of all the stocks/Debentures/Bonds held in Client's designated account.
- "**Margin Call**" shall mean a request to the "Client" to deposit money or shares to bring the Debit Balance equal to or below 50% of the Portfolio Value within 24 hours.
- "**Debit Balance**" shall mean the total value of cash owed by the Client together with any interest, brokerage, CDBL charges and any other charges to the Broker in the Securities Account for securities transacted into the account.

2. THE CLIENT SHALL OPEN THE FOLLOWING TWO TYPES OF ACCOUNTS WITH BROKER.

a. SECURITIES ACCOUNT

The CLIENT shall open a Securities Account with BROKER to transact shares through it in his/her/its name.

b. BENEFICIARY OWNER (BO) ACCOUNT

- i) The Client will open a separate BO Account through CDBL in his/her/its own name.
- ii) The Client shall abide by the rules and regulations of the DSE & CSE, BSEC and CDBL

3. Methodology

- i) The Client would be required to submit an application to the Broker/Dealer for the Margin Trade Facility.
- ii) The Client (In case of Company/Trust) shall be allowed to commence Margin Trading only when it has submitted to the Broker copies of the relevant documents (i. e. certified copy of Trust Deed or Certificate of Incorporation along with Memorandum and Articles of Association) and a resolution which authorizes that specific entity and its representatives on behalf of the client to conduct Margin Trading.

- iii) The facility granted under this account shall be a short term facility and shall be valid at the sole discretion of the Broker and repayable on demand of the Broker.
- iv) The Client contribution of Share or Cash or both will be deposited to the Securities Account/BO Account. The value of shares shall be calculated on a daily basis as per day's closing price of DSE.
- v) The Broker will have absolute discretion to accept or decline any security against which credit facility is granted and shall reserve the right to make changes to their list of "Approved Securities" at any given moment.
- vi) Only "Approved Securities" may be used as collateral for Margin Trading. A list of the "Approved Securities", to be updated from time to time, will be available with Broker.
- vii) When a previously approved share is later disqualified for inclusion, it will be excluded from all subsequent margin calculations.
- viii) In the case of Broker omitting a particular Securities/securities from the list of "approved securities", the Client shall accordingly adjust the "Portfolio value" or the "Debit balance" within five market days. Provided that in the event of suspension of any Securities by the BSEC, DSE or CSE, BROKER will be excluded from the calculation of the portfolio value at any time.
- ix) The Client may, using the credit facility, purchase approved securities through Broker into the "Securities Account" to a maximum value of 50% of the Portfolio value or any limit set by management which ever is lower. The Client shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.
- x) If Debit balance goes above 60% of the portfolio value due to fluctuation in the market or any other event, Broker will issue a "Margin Call" to the Client. Margin Call may be either in writing or via telephone call.
- xi) If the Client doesn't respond to Margin Call, Broker will than sell out whatever shares as it appears fit to regularize the "Debit balance" to 30% of the "portfolio value." (+/- 5% deviation is accepted in case of adjustment) and the broker/dealer may act upon as the Attorney of the Client in accordance with the terms thereof and in terms of the margin rules.
- xii) If the "Debit balance" reaches to 75% of the portfolio value due to a sudden fall in the market or for any other event, Broker will sell out shares of any company whatsoever as it thinks fit to make the Debit Balance 50% of the portfolio value without any margin call or notice whatsoever. Any residual shares will remain in the account and will be available to Client.
- xiii) If the Client exceeds the value of the "Debit Balance" above the stipulated limit and/or violates any other terms and conditions/rules/requirements as laid down by the Broker or any regulatory authority from time to time, the Broker shall have absolute discretion to sell any or all shares or securities of any Company whatsoever in the Client "Securities Account" without any consent of any form from the Client in order to adjust the Debit Balance of the "Securities Account". In the event the Portfolio value of the "Securities Account" becomes inadequate to regularize the "Debit Balance", the Client shall be under obligation to make cash payment to settle any shortfall.
- xiv) The Client shall pay brokerage commission for all transactions i.e. buy and sell in the "Securities Account". The Client shall also pay brokerage commission for all transactions carried out in order to regularize the "Securities Account". Rate of brokerage will be fixed by the Broker and can be changed from time to time.
- xv) Broker shall have the authority to give notice to the Client to adjust the debit balance in Securities Account within 20 (Twenty) trading days for whatever reason with the right to divulge the reasons to the Client. If the Client does not respond to such notice, Broker shall then have absolute discretion at the Client risk to sell/buy any or all shares or securities of any Company whatsoever in the Client "Securities Account" without any consent of any form from the Client in order to adjust the Debit Balance of the Securities Account.
- xvi) Broker will charge Client account for any debit amount, calculated on a daily basis to be accounted for at the end of the calendar month. Broker shall advice the interest rate to the Client from time to time. Broker reserves the right to increase/decrease the interest rate by giving 7 (Seven) trading days notice to the Client.
- xvii) The Securities Account will be fully operated (All Buy/Sell decisions) by the Client at all times other than in the instance of Brokerage regularizing the account as are explained in xi, xii, xiii, xiv, xv of clause 3 hereof.
- xviii) Trading will take place in the same way as the normal accounts i.e. either by giving written order, by facsimile message or e-mail.
- xix) Interest on the Margin Trading Facility is repayable on or before the end of every calendar month by way of depositing cash or adjustment in the Securities account.
- xx) Broker shall have the right to change, rectify, include any terms and conditions in addition to the above at any time with 7 (Seven) trading days notice to the Client.

4. Securities

The shares or cash brought by the Client together with shares purchased by using debit balance will be considered as collateral for the Margin Trading facility. Broker may assign such collateral with any financial institution including bank.

However, the shares brought by the Client to cover Margin Requirement should meet following criteria:

- a) The shares should be acceptable to the Broker
- b) The shares should be tradable in the DSE & CSE.
- c) The shares should possess reasonable liquidity.

Broker may also take additional securities as collateral from the Client depending on the tradable demand of the shares offered by the Client.

5. The Client hereby irrevocably authorizes the Broker:

- a. To deal with all the Client's securities deposited in the B.O. account with "Central Depository System" (CDS) & Securities Account from time to time independently at its sole discretion as long as the Client's liabilities in the repayment of all such facilities remain outstanding.
- b. To purchase and sell any such securities of the Client deposited with "CDS" and to deal or negotiate with other institution, engaged in the purchase or sale of any securities on the Client's behalf and to deal with any other matters relating to the "Securities/BO Account" with or without Client's instructions and the Client hereby agrees that he/it shall at all times ratify and endorse such acts of the Broker. The Broker shall not be liable for any loss caused to the Client directly or indirectly resulting from any such act of commission or omission.
- c. To debit the "Securities Account" for any sums or sum of money being purchased price of margin able securities, brokers' commissions, payments for rights and benefits in respect of marginal securities, transaction fee, interest, CDBL charges or for any payment whatsoever.
- d. To issue pay-orders, Bank drafts or transfer of funds by any means to any person or persons and for many amount in respect of any transaction pertaining to the business averred herein.
- e. To deposit cash, cheque or any other instruments into the "Securities Account"
- f. To debit the Client Securities account for interest, brokerage, CDBL Shares, and other service charges, if any.

6. Indeminty.

- a) The Client shall indemnify the Broker in full and keep indemnified, safe and harmless against any losses, suits, claims and damages that the Broker shall or may be caused, sustained, incurred or suffered by any reason in maintaining the Client's accounts and using the Margin Trading Facilities in any manner whatsoever and/or acting on the instructions or requests, advices made or forwarded by way of telephone or Facsimile and electronic messages.

7. Power of Attorney.

The Client hereby gives irrevocable power of attorney to Broker to operate its/his/her B.O. account and Securities account to exercise any of the powers as stated in xi, xii, xiii, xiv, xv of clause 3 hereof.

8. The Broker agrees with the Client as follows :

- a) Broker shall act directly as the agent of the Client in respect of all dealings of margin approved securities and the Client shall remain principally liable for all dealings, negotiations and transactions entered into by the Broker on behalf of the Client.
- b) Both the Broker and Client shall have the right at any time in their absolute discretion and without having reason therefore to close the "Securities Account" by giving one month prior notice of closure to the Client and any debit of the Client "Securities Account" (if any) will be adjusted.
- c) This agreement shall be binding on the parties, their successors, heirs, executors, administrators, assigns, or legal representatives.
- d) The terms and conditions shall not be altered, amended or modified except in writing by the duly authorized representatives of each party hereto.
- e) Where the Client is in default of payment of legal fees, stamp duty or other out of pocket expenses incurred by the Broker, the Broker shall have the right and discretion to recover such expenses from the Client by debiting the Securities Account.

9. Client Statement-at each month and the broker will provide the client with a printed statement of their Securities Account detailing the number of shares held and the debit balance if any.

10. Nominee

In the event of the death of one of the joint account holders, the survivor and on the death of sole account holder the nominee or successor shall be the beneficiary of the transactions.

This agreement shall be governed and construed by the laws of the Peoples Republic of Bangladesh.

In Witness Whereof the parties hereto have caused this indenture to be executed on the day, month and the year herein before.

Signed, sealed and delivered by **Prime Islami Securities Limited**

✓

Signature of First Account Holder

Signature of Second Account Holder

Witnessed By:

Signature :
Name :
Address :
.....
.....

Witnessed By:

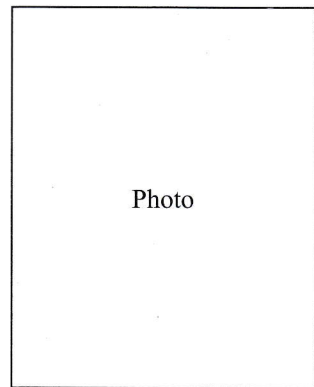
Signature :
Name :
Address :
.....
.....

FOR OFFICE USE ONLY:

Introduced by :
Signature :
Name :

Approved by :
Signature :
Name :
Member's Representative

The Chief Executive Officer
Prime Islami Securities Limited
DSE Tower (Level-9), Room # 167
Plot # 46, Road # 21, Nikunja-2
Dhaka-1229, Bangladesh
Tel: +88-02-41040272



Photograph of Authorized
Person Attested by
Account Holder

Dear Sir,

LETTER OF AUTHORISATION

I/We..... so/wo
of.....
hereby authorize Mr./Mrs.....
s.o/w.o.....of.....
..... whose specimen signature is given below (hereinafter referred to as
the "Account Operator") to exclusively deal, buy, sell, transfer shares, debenture, stocks, bonds & other securities on
behalf of me with regard to the "Securities Account" opened & maintained in my name with Prime Islami Securities
Limited submitted (hereinafter referred to as the "Broker")

I/We hereby authorize & instruct the "Broker" to deal, buy, sell, transfer shares, stocks, debentures, debenture stocks,
bonds & other securities on verbal &/or written instructions of the "Account Operator"

I/We also authorize the "Account Operator" to place buy/sell orders, receive confirmation notes, receive & deliver
cheques/cash/or shares other securities on my/our behalf with regard to my/Our "Securities Account"

I/We hereby declare that I/We are fully aware of all consequences of transaction that may be carried out on my/our
behalf by the "Account Operator" & shall take responsibility for all such transaction as that of my/our own. I/we shall
fulfill & abide by all rules & regulation described in the "Securities Account Opening Form" duly completed & signed
by me/us, with regard to all transaction carried out by the "Account Operator" without any demur of protest.

I/we hereby undertake and ensure to make good and compensate for any loss or damage incurred or sustained by the
"Broker" for any reason what so ever as a result of any transaction carried out by the "Account Operator".

Thank you

Yours Sincerely

1.....

2.....

Date :

✓

Signature of Account Operator

1.....

2.....

Date :



PRIME ISLAMI SECURITIES LIMITED

share market is the best place for ethical investment

Head Office : DSE Tower (Level-9), Room # 167, Plot # 46, Road # 21, Nikunja-2, Dhaka-1229, Tel: 02-41040272

Corporate Member of :

DSE : Member # 104; SEC: Reg. No.-Reg-3.1/DSE-104/2010/439

CSE : Member # 145; SEC: Reg. No. -Reg-3.2/CSE-145/2014/288

Full Service DP : SEC Reg. No. SEC/Reg/CDBL-DP-341

Photo

BO Account Opening Form

[Bye Law 7.3.3 (b)]

শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ

Please complete all details in **CAPITAL** letters. **Please fill all names correctly.** All communication shall be sent **only** to the First Named Account Holder's correspondence address.

Application No :

Date (DDMMYYYY):

Please Tick whichever is applicable

BO Category : Regular Omnibus Clearing **BO Type :** Individual Company Joint Holder

Name of CDBL Participant (Up to 99 Characters) **PRIME ISLAMI SECURITIES LIMITED**
CDBL Participant ID BO ID Date Account Opened (DDMMYYYY)
5 0 0 0 0 0 1 2 0 5 0 0 0 0

I/We request you to open a Depository Account in my/our name as per the following details :

1. First Applicant

Name in Full of Account Holder (Up to 99 Characters).....
Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters) Title i.e. Mr/Mrs/Ms/Dr.
(In case of a Company/Firm/Statutory Body) Name of Contact Person
In Case of Individual Male Female Occupation (30 Characters)
Father's//Husband's Name :
Mother's Name :

2. Contact Details :

Address :
City : Post Code : State/Division : Country :
Telephone : Mobile : Fax : E-mail :

3. Passport Details :

Passport No : Issue Place : Issue Date : Expiry Date :

4. Bank Details

Routing No. Bank Name : Account No :
Branch Name : EDC: Yes / No Tax Exemption if any : Yes / No TIN/Tax ID.....

5. Others Information

Residency : Resident Non Resident Nationality..... Date Of Birth
(DD/MM/YYYY)
Statement Cycle Code : Daily Weekly Fortnightly Monthly Other (Please Specify)
Internal Ref. No (To be filled in by CDBL Participant).....
In Case of Company : Date of Registration (DDMMYYYY)
Registration No :

5. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters).....
Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms/Dr.



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Terms & Conditions - Bye Laws 7.3.3(C)

CDBL Participant, Dhaka /Chittagong/Sylhet, Bangladesh

Dear Sir,

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of **Prime Islami Securities Limited** (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instruct the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for :
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction/transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.;
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form
 - (a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
 - (b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
 - (c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:

- (a) By rematerialization of all existing balances in my/our account;
 - (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
 - (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s);
8. CDBL Participant covenants that it shall
- (a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf .
 - (b) Not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
 - (c) Maintain adequate audit trail of the execution of the instructions of the Account Holder.
 - (d) Not honor or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/its constituted attorney available on the records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honor the Account Holder's instructions.
 - (e) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
 - (f) Promptly attend to all grievances/complaints of the Account Holder and shall resolve all such grievances/ complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances/complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder :
- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
 - (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
 - (c) Commits or participates in any fraud or other act of moral turpitude in his/its dealings with the CDBL Participant;
 - (d) Otherwise misconducts himself in any manner.
10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Account Holder	Name of Account Holder/Authorized signatories in case of Limited Company.	Signature with date
First Account Holder		✓
Second Account Holder		✓
Third Account Holder (Limited Company Only)		

CDBL Bye Laws

Form 23

Nominee 2

Name in Full :

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters) Title i.e. Mr./Mrs.

--	--

Relationship with A/C Holder :..... Percentage (%) :

Address :

City :..... Post Code :..... State/Division :..... Country :.....

Telephone :..... Mobile :..... Fax :..... E-mail :.....

Passport No :..... Issue Place :..... Issue Date :..... Expiry Date :

Residency : Resident Non Resident Nationality :..... Date Of Birth (DDMMYYYY)

Guardian's Details (if Nominee is a Minor)

Name in Full :

Short Name (Insert full name starting with Title i.e. Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters)

--	--

Relationship with Nominee :..... Date of Birth of Minor (DDMMYYYY) :..... Maturity Date of Minor (DDMMYYYY) :

Address :

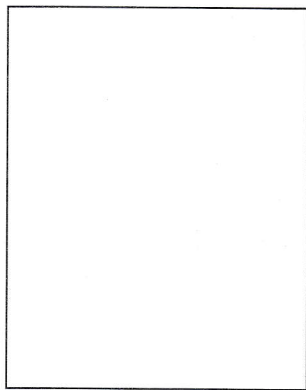
City :..... Post Code :..... State/Division :..... Country :.....

Telephone :..... Mobile :..... Fax :..... E-mail :.....

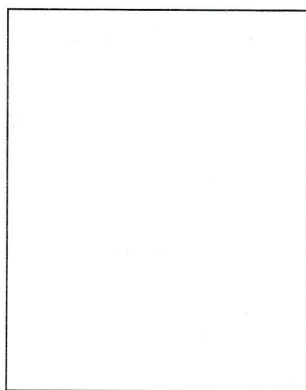
Passport No :..... Issue Place :..... Issue Date :..... Expiry Date :

Residency : Resident Non Resident Nationality :..... Date Of Birth (DDMMYYYY)

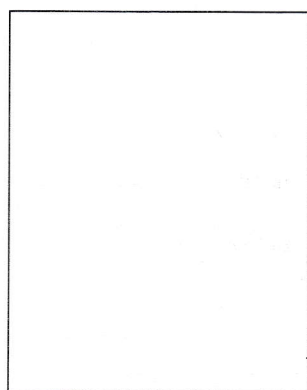
2. Photograph of Nominees/Heirs



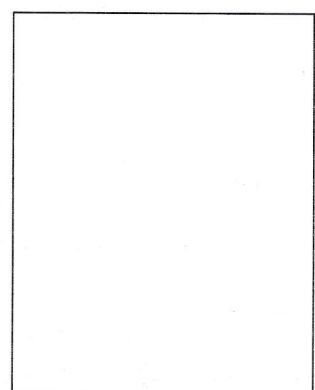
Nominee/Heir 1



Nominee/Heir 2



Guardian 1



Guardian 2

	Name	Signature
Nominee/Heir 1		N
Guardian 1		
Nominee/Heir 2		
Guardian 2		
First Account Holder		✓
Second Account Holder		✓



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Corporate Member of :

DSE : Member # 104; SEC: Reg. No.-Reg-3.1/DSE-104/2010/439
 CSE : Member # 145; SEC: Reg. No. -Reg-3.2/CSE-145/2014/288

Power of Attorney (POA) Form

Please complete all details in **CAPITAL** letters. **Please fill all names correctly.** All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form-02.

Application No :

Date (DDMMYYYY) :

Name of CDBL Participant (Up to 99 Characters)	CDBL Participant ID
Prime Islami Securities Limited	5 0 0 0 0
Account holder's BO ID	<input type="text" value="1"/> <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="5"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/>
Name of Account Holder (Insert full name starting with Title i.e Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters)	
<input type="text"/>	

Power of Attorney Holder's Details	
Name in Full:	
Short Name of Power of Attorney Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms/Dr.	
<input type="text"/>	

1. Power of Attorney Holder's Contact Details :

Address :	
City :	Post Code :
State/Division :	Country :
Telephone :	Mobile Phone :
Fax :	E-mail:

2. Power of Attorney Holder's Passport Details

Passport No :	Issue Place :	Issue Date :	Expiry Date :
---------------------	---------------------	--------------------	---------------------

3. Others Information of Power of Attorney Holder

Residency : Resident <input type="checkbox"/> Non Resident <input type="checkbox"/> Nationality :	Date Of Birth (DDMMYYYY)
Power of Attorney Effective From	To
D D M M Y Y Y Y	D D M M Y Y Y Y

Remarks (Insert reference to POA document i.e. Specific POA or General POA etc.) ...

**CDBL Bye Laws
Form 20**

4. Photograph of Power of Attorney Holder

--	--

(POA Holder)

5. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Account Holder/POA	Name of Account Holder/Authorized signatories in case of Ltd. Co.	Signature with date
POA Holder		✓
First Account Holder		
Second Account Holder		
Third Account Holder (Limited Company Only)		

KYC PROFILE FORM

Date:

Trading ID:

BO Account Name	<input type="text"/>		
Type of Account	Cash <input type="checkbox"/>	Margin <input type="checkbox"/>	NRB <input type="checkbox"/> Company <input type="checkbox"/>
Status:	Individual <input type="checkbox"/>	Joint <input type="checkbox"/>	

Occupation	Business <input type="checkbox"/>	Service <input type="checkbox"/>	House Wife <input type="checkbox"/>	Others (in details) <input type="text"/>
Nature of Business <i>(in case of Business)</i>	<input type="text"/>			
Sources of Fund	<input type="text"/>		Details Obtained	Yes <input type="checkbox"/> No <input type="checkbox"/>
National ID No.	<input type="text"/>		Photocopy Obtained	Yes <input type="checkbox"/> No <input type="checkbox"/>
National ID Name	<input type="text"/>			
Originality of Documents	Seen <input type="checkbox"/>	Unseen <input type="checkbox"/>	<input type="text"/>	

In Case of NRB Account

Passport No.	<input type="text"/>	Photocopy Obtained	Yes <input type="checkbox"/> No <input type="checkbox"/>
Passport Expiry Date	<input type="text"/>		
	D D M M Y Y Y Y		
Originality of Documents	Seen <input type="checkbox"/>	Unseen <input type="checkbox"/>	<input type="text"/>

In case of Company Account (Corporate/ Solo Proprietorship)

TIN No.	<input type="text"/>	Photocopy Obtained	Yes <input type="checkbox"/> No <input type="checkbox"/>
Registration No.	<input type="text"/>	Photocopy Obtained	Yes <input type="checkbox"/> No <input type="checkbox"/>
Trade License No.	<input type="text"/>	Photocopy Obtained	Yes <input type="checkbox"/> No <input type="checkbox"/>
Originality of Documents	Seen <input type="checkbox"/>	Unseen <input type="checkbox"/>	<input type="text"/>

Others

Contact Address	<input type="text"/>
Contact No./ Tel	<input type="text"/>
E-Mail ID	<input type="text"/>

Political Exposed Person (PEPs)

Approval obtained from Branch Manager	Yes <input type="checkbox"/> No <input type="checkbox"/>
Customer(s) interviewed personally	Yes <input type="checkbox"/> No <input type="checkbox"/>

Non face-to-face Customers

Approval obtained from Branch Manager	Yes <input type="checkbox"/> No <input type="checkbox"/>
---------------------------------------	--

The account was opened in which way:

Relationship Manager/ Br. Manager Trader Walk In/ Willingness Others

Prepared By
Account Opening Executive Name
.....
Sign
Date
Seal

Verified By CSD
Name:
.....
Sign
Date
Seal

Approved By (Br. Manager)
Name:
.....
Sign
Date
Seal



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Head Office : DSE Tower (Level-9), Room # 167, Plot # 46, Road # 21, Nikunja-2, Dhaka-1229, Tel: 02-41040272

ID. No: 12050000

Trading Code:

Name:

Account Category: **Individual/Joint/Company**

Account Type: **Cash/Margin**

Account Opening Date:

SL. NO	Details	Signature with Name
1.	Form fill-up & Documents attached by	
2.	Agreement Signed by	
3.	Checked by	
4.	Picture & Signature Scan by	
5.	CDBL BO Set- up Back Office Upload Signature & Picture Upload	
6.	Nominee set-up, power of attorney set-up/authorization set-up, Request send to activation of Trading Code	
7.	Approved & Activation	

Particulars	Ratio	Effective Date
Margin Loan Ratio		
Brokerage Commission		
Revise Margin Loan Ratio		
Revise Brokerage Commission		
Revise Margin Loan Ratio		
Revise Brokerage Commission		

Approved By

Authorized Signatory

1907



PRIME ISLAMI SECURITIES LIMITED
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Corporate Member of:
 DSE : Member # 104
 CSE : Member # 145

Photograph of First Account Holder	Photograph of Second Account Holder	Photograph of Authorized Person
--	---	---------------------------------------

Signature Card

Client Code : BO ID :

Account Holder	Full Name (In Block Letter)	Specimen Signature
First Account Holder		✓
Second Account Holder		✓
Third Account Holder (Limited Company Only)		
Nominee		N
Power of attorney		
Special Instruction : (If Any)		

Approved By :

Date :



PRIME ISLAMI SECURITIES LIMITED

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Head Office

DSE Tower (Level-9),
Room # 167, Plot # 46, Road # 21,
Nikunja-2, Dhaka-1229
Tel : 02-41040272

Extension Office :1

Manik Miah Bhaban
1, R.K Mission Road (4th Floor)
Motijheel C/A, Dhaka-1000
Tel: 02-47112672

Extension Office :2

Gausepak Bhaban
28/G/1, Toyenbee Circular Road
Motijheel C/A, Dhaka-1000
Tel: +8801708572602